

# INITIAL REGISTRATION STATEMENT

## EXECUTIVE AGENCY LOBBYIST/EMPLOYER/REAL PARTY IN INTEREST

Commonwealth of Kentucky  
EXECUTIVE BRANCH ETHICS COMMISSION  
258 Capitol Annex  
Frankfort, Kentucky 40601  
(502) 564-7954 FAX (502) 564-2686

*This statement must be filed with the Executive Branch Ethics Commission within ten (10) days of engagement. Please read instructions and review Kentucky Revised Statutes 11A.211 prior to filing. There is no fee for this filing. Upon termination of this engagement, there is an affirmative duty to notify the Executive Branch Ethics Commission within thirty (30) days. ANY PERSON WHO KNOWINGLY FILES A FALSE STATEMENT IS IN VIOLATION OF STATE LAW AND SUBJECT TO FINES AND OTHER PENALTIES.*

### A. Executive Agency Lobbyist Information

1. Full Name \_\_\_\_\_
2. Occupation \_\_\_\_\_ 3. Title \_\_\_\_\_
4. Firm Name \_\_\_\_\_
5. Business Address \_\_\_\_\_  
\_\_\_\_\_
6. Business Telephone (\_\_\_\_\_) \_\_\_\_\_ 7. FAX No. \_\_\_\_\_
8. E-Mail Address \_\_\_\_\_ 9. Cell/Mobile Telephone (\_\_\_\_\_) \_\_\_\_\_
10. Permanent Residential Address \_\_\_\_\_
11. Date of Engagement as Executive Agency Lobbyist with this Employer \_\_\_\_\_

### B. Employer Information

1. Full name of company/organization \_\_\_\_\_
2. Type of Industry \_\_\_\_\_
3. Business Address \_\_\_\_\_  
\_\_\_\_\_
4. Name and title of person to whom Updated Registration Statement/Employer of Executive Agency Lobbyist should be sent for completion (each Employer should name one person as a contact).

Name \_\_\_\_\_ Title \_\_\_\_\_

\_\_\_\_\_  
*Address (if different from Employer above)*

Telephone \_\_\_\_\_ FAX No. \_\_\_\_\_

### C. Real Party in Interest Information

1. Full name of company/organization \_\_\_\_\_
2. Type of Industry \_\_\_\_\_
3. Business Address \_\_\_\_\_  
\_\_\_\_\_
4. Name and title of person to whom Updated Registration Statement/Real Party in Interest should be sent for completion (each Real Party should name one person as a contact).

Name \_\_\_\_\_ Title \_\_\_\_\_

\_\_\_\_\_  
*Address (if different from Real Party above)*

Telephone \_\_\_\_\_ FAX No. \_\_\_\_\_

**(If none, check here ☐ )**

### D. Brief description of the Executive Agency Decision(s) to which Executive Agency Lobbyist's engagement relates:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### E. Listing of Executive Agencies to which engagement relates: Please check all agencies you anticipate contacting in your capacity as an executive agency lobbyist for the employer listed on this form.

#### CONSTITUTIONAL AGENCIES \ ELECTED OFFICIALS

- |   |   |
|---|---|
| <input type="checkbox"/> Agriculture Commissioner   | <input type="checkbox"/> Lt. Governor       |
| <input type="checkbox"/> Attorney General           | <input type="checkbox"/> Secretary of State |
| <input type="checkbox"/> Auditor of Public Accounts | <input type="checkbox"/> State Treasurer    |
| <input type="checkbox"/> Governor                   |   |

#### ECONOMIC DEVELOPMENT CABINET

- |   |   |
|---|---|
| <input type="checkbox"/> Administration and Support         | <input type="checkbox"/> Economic Development Partnership |
| <input type="checkbox"/> Business Development               | <input type="checkbox"/> Financial Incentives             |
| <input type="checkbox"/> Community Development              | <input type="checkbox"/> Forest Products Council          |
| <input type="checkbox"/> Economic Development Finance Auth. | <input type="checkbox"/> Secretary, Office of the         |
|   | <input type="checkbox"/> Tobacco Research Board           |

#### EDUCATION, ARTS & HUMANITIES CABINET

- |   |   |
|---|---|
| <input type="checkbox"/> Arts Council                           | <input type="checkbox"/> Historical Society                   |
| <input type="checkbox"/> Center for the Arts                    | <input type="checkbox"/> Kentucky Educational Television      |
| <input type="checkbox"/> Deaf & Hard of Hearing Commission      | <input type="checkbox"/> Libraries and Archives               |
| <input type="checkbox"/> Education, Department of               | <input type="checkbox"/> School Facilities Construction Comm. |
| <input type="checkbox"/> Education Professional Standards Board | <input type="checkbox"/> Secretary, Office of the             |
| <input type="checkbox"/> Geographic Education Board             | <input type="checkbox"/> State Advisory Council on Libraries  |
| <input type="checkbox"/> Heritage Council                       | <input type="checkbox"/> Teachers' Retirement System          |

## FAMILIES AND CHILDREN CABINET

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Community Based Services         | <input type="checkbox"/> General Counsel                   | <input type="checkbox"/> Program Support          |
| <input type="checkbox"/> Disability Determination         | <input type="checkbox"/> Human Serv. & Collaboration Comm. | <input type="checkbox"/> Secretary, Office of the |
| <input type="checkbox"/> Family Resource & Youth Services | <input type="checkbox"/> Performance Enhancement           | <input type="checkbox"/> Technology Services      |

## FINANCE & ADMINISTRATION CABINET

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Administration                         | <input type="checkbox"/> Higher Education Assistance Authority | <input type="checkbox"/> Personnel Steering Committee          |
| <input type="checkbox"/> Central State Hosp. Recovery Authority | <input type="checkbox"/> Higher Education Student Loan Corp.   | <input type="checkbox"/> Private Activity Bond Allocation      |
| <input type="checkbox"/> Controller                             | <input type="checkbox"/> Interpreters for the Deaf & Hard of   | <input type="checkbox"/> Public Officials Compensation Comm.   |
| <input type="checkbox"/> County Officials Compensation Board    | <input type="checkbox"/> Hearing, Board of                     | <input type="checkbox"/> River Authority                       |
| <input type="checkbox"/> Economic Roundtable                    | <input type="checkbox"/> Kentucky Housing Corporation          | <input type="checkbox"/> Savings Bond Authority                |
| <input type="checkbox"/> Facilities Management                  | <input type="checkbox"/> Kentucky Retirement Systems           | <input type="checkbox"/> Secretary, Office of the              |
| <input type="checkbox"/> Financial Management & Economic        | <input type="checkbox"/> Local Correctional Facilities         | <input type="checkbox"/> State Property & Buildings Commission |
| <input type="checkbox"/> Analysis                               | <input type="checkbox"/> Construction Authority                | <input type="checkbox"/> Tobacco Settlement Trust Corporation  |
| <input type="checkbox"/> Governmental Services Center           | <input type="checkbox"/> Management & Budget                   | <input type="checkbox"/> Turnpike Authority                    |

## GENERAL GOVERNMENT / BOARDS AND COMMISSIONS

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Accountancy, Board of                   | <input type="checkbox"/> Hearing Instrument Specialists, Board of | <input type="checkbox"/> Professional Counselors, Board of             |
| <input type="checkbox"/> Agricultural Development Board          | <input type="checkbox"/> Human Rights Commission                  | <input type="checkbox"/> Professional Engineers & Land Surveyors,      |
| <input type="checkbox"/> Alcohol & Drug Counselors, Board of     | <input type="checkbox"/> Infrastructure Authority                 | <input type="checkbox"/> Board of                                      |
| <input type="checkbox"/> Architects, Board of                    | <input type="checkbox"/> Landscape Architects, Board of           | <input type="checkbox"/> Professional Geologists, Board of             |
| <input type="checkbox"/> Athletic Commission                     | <input type="checkbox"/> Local Government, Department of          | <input type="checkbox"/> Psychologists, Board of                       |
| <input type="checkbox"/> Auctioneers, Board of                   | <input type="checkbox"/> Lottery Corporation                      | <input type="checkbox"/> Real Estate Appraisers, Board of              |
| <input type="checkbox"/> Barbering, Board of                     | <input type="checkbox"/> Marriage and Family Therapy, Board of    | <input type="checkbox"/> Real Estate Commission                        |
| <input type="checkbox"/> Charitable Asset Administration Board   | <input type="checkbox"/> Medical Licensure, Board of              | <input type="checkbox"/> Registry of Election Finance                  |
| <input type="checkbox"/> Chiropractic Examiners, Board of        | <input type="checkbox"/> Military Affairs, Commission on          | <input type="checkbox"/> Respiratory Care, Board of                    |
| <input type="checkbox"/> Coal Council                            | <input type="checkbox"/> Military Affairs, Department of          | <input type="checkbox"/> Secretary of the Governor's Executive Cabinet |
| <input type="checkbox"/> Dentistry, Board of                     | <input type="checkbox"/> Nursing, Board of                        | <input type="checkbox"/> Small Business Advocacy, Commission on        |
| <input type="checkbox"/> Dieticians and Nutritionists, Board of  | <input type="checkbox"/> Nursing Home Administrators, Board of    | <input type="checkbox"/> Social Workers, Board of                      |
| <input type="checkbox"/> Early Childhood Development, Office of  | <input type="checkbox"/> Occupational Therapy, Board of           | <input type="checkbox"/> Speech Pathology and Audiologists, Board of   |
| <input type="checkbox"/> Elections, Board of                     | <input type="checkbox"/> Ophthalmic Dispensers, Board of          | <input type="checkbox"/> Substance Abuse Policy, Agency for            |
| <input type="checkbox"/> Embalmers & Funeral Home Dir., Board of | <input type="checkbox"/> Optometric Examiners, Board of           | <input type="checkbox"/> State Budget Director, Office of              |
| <input type="checkbox"/> Executive Branch Ethics Commission      | <input type="checkbox"/> Pharmacy, Board of                       | <input type="checkbox"/> Therapists, Board of                          |
| <input type="checkbox"/> Governor's Office for Technology        | <input type="checkbox"/> Physical Therapy, Board of               | <input type="checkbox"/> Veteran's Affairs, Department of              |
| <input type="checkbox"/> Hairdressers & Cosmetologists, Board of | <input type="checkbox"/> Postsecondary Education, Council on      | <input type="checkbox"/> Veterinary Examiners, Board of                |
|  | <input type="checkbox"/> Professional Art Therapists, Board of    | <input type="checkbox"/> Women, Commission on                          |

## HEALTH SERVICES CABINET

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Aging Services                          | <input type="checkbox"/> Inspector General                   | <input type="checkbox"/> Program Support          |
| <input type="checkbox"/> Certificate of Need, Office of          | <input type="checkbox"/> Malt Beverage Education Corporation | <input type="checkbox"/> Public Health            |
| <input type="checkbox"/> Children with Special Health Care Needs | <input type="checkbox"/> Medicaid Services                   | <input type="checkbox"/> Secretary, Office of the |
| <input type="checkbox"/> Commission for                          | <input type="checkbox"/> Mental Health/Mental Retardation    | <input type="checkbox"/> Women's Health           |
| <input type="checkbox"/> General Counsel                         | <input type="checkbox"/> Services                            |   |

## JUSTICE CABINET

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Corrections               | <input type="checkbox"/> Juvenile Justice      | <input type="checkbox"/> Secretary, Office of the       |
| <input type="checkbox"/> Criminal Justice Training | <input type="checkbox"/> Kentucky State Police | <input type="checkbox"/> State Medical Examiners Office |
|  | <input type="checkbox"/> Parole Board          |   |

## LABOR CABINET

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Administrative Services               | <input type="checkbox"/> Labor-Management Relations & Mediation   | <input type="checkbox"/> State Labor Relations Board              |
| <input type="checkbox"/> Apprenticeship and Training Council   | <input type="checkbox"/> Occupational Safety and Health Review    | <input type="checkbox"/> Uninsured Employers' Fund                |
| <input type="checkbox"/> Employee's Insurance Association      | <input type="checkbox"/> Commission                               | <input type="checkbox"/> Worker's Claims                          |
| <input type="checkbox"/> Employers' Mutual Insurance Authority | <input type="checkbox"/> Occupational Safety and Health Standards | <input type="checkbox"/> Worker's Compensation Advisory Council   |
| <input type="checkbox"/> General Counsel                       | <input type="checkbox"/> Board                                    | <input type="checkbox"/> Worker's Compensation Board              |
| <input type="checkbox"/> Guaranty Funds                        | <input type="checkbox"/> Prevailing Wage Review Board             | <input type="checkbox"/> Worker's Compensation Funding Commission |
| <input type="checkbox"/> Labor-Management Advisory Council     | <input type="checkbox"/> Secretary, Office of the                 | <input type="checkbox"/> Workplace Standards                      |

## NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION CABINET

☐ Administrative Hearings, Office of  
☐ Administrative Services  
☐ Environmental Protection,  
☐ Environmental Quality Commission  
☐ Forest Resource Council

☐ General Administration and Support  
☐ Information Services, Office of  
☐ Inspector General  
☐ Legal Services, Office of  
☐ Natural Resources,

☐ Secretary, Office of the  
☐ State Nature Preserves Commission  
☐ Surface Mining Reclamation &  
Enforcement, Department of

### PERSONNEL CABINET

☐ Administrative and Legal Services,  
Office of  
☐ Employee Relations

☐ Group Health Insurance Board  
☐ Personnel Administration  
☐ Personnel Board

☐ Public Employees Deferred Compensation  
Authority  
☐ Secretary, Office of the

### PUBLIC PROTECTION & REGULATION CABINET

☐ Alcoholic Beverage Control  
☐ Board of Claims  
☐ Charitable Gaming  
☐ Crime Victim Compensation Board  
☐ Financial Institutions

☐ Health Care Improvement Authority  
☐ Housing, Buildings & Construction  
☐ Insurance  
☐ Mines & Minerals  
☐ Petroleum Storage Tank Environmental  
Assurance Fund

☐ Public Advocacy  
☐ Public Service Commission  
☐ Racing Commission  
☐ Secretary, Office of the  
☐ Tax Appeals, Board of

### REVENUE CABINET

☐ Information Technology  
☐ Law

☐ Property Valuation  
☐ Secretary, Office of the

☐ Tax Administration

### TOURISM DEVELOPMENT CABINET

☐ Administrative Services  
☐ Appalachian Kentucky Artisans  
Gateway Center Authority  
☐ Fish & Wildlife Resources

☐ General Counsel  
☐ Horse Park Commission  
☐ Parks

☐ Secretary, Office of the  
☐ State Fair Board  
☐ Travel Development

### TRANSPORTATION CABINET

☐ Administrative Services  
☐ Fiscal Management  
☐ General Counsel and Legislative Affairs  
☐ Highways

☐ Human Resources Management  
☐ Intermodal Programs  
☐ Minority Affairs  
☐ Policy and Budget

☐ Public Affairs  
☐ Rural & Municipal Aid  
☐ Secretary, Office of the  
☐ Transportation Delivery  
☐ Vehicle Regulation

### WORKFORCE DEVELOPMENT CABINET

☐ Adult Education & Literacy  
☐ Assistive Technology Loan Corporation  
☐ Blind, Department for the  
☐ Employment Services

☐ Occupational Information Coordinating  
Committee  
☐ Secretary, Office of the  
☐ State Brd for Adult & Technical Education

☐ State Board of Proprietary Education  
☐ Technical Education  
☐ Vocational Rehabilitation

**I CERTIFY UNDER PENALTY OF LAW THAT THE INFORMATION GIVEN IN THIS  
INITIAL REGISTRATION STATEMENT IS COMPLETE AND ACCURATE.**

Date \_\_\_\_\_ Signature \_\_\_\_\_

*(Executive Agency Lobbyist)*

Date \_\_\_\_\_ Signature \_\_\_\_\_

*(Employer)*

\_\_\_\_\_  
*Please type name and title of person signing for Employer*

